

**PTO RECEIPT FOR FILING OF PAPERS**

**The following papers have been filed:**

PCT T, ck 121549 \$130, DEC, assign t, ck 121550 \$40, ASSIGN

**Name of Applicant:** Roger DENIS

**Serial No.:** 09/857,144

**Atty. File No.:** 109684

**Title (New Cases):**

**Sender's Initials:** WPB/zmc

31/29

**PATENT OFFICE DATE STAMP**



14

**COPY TO BE STAMPED BY PATENT OFFICE  
AND RETURNED BY MESSENGER**

(1390 REV. 5-93) US DEPT. OF COMMERCE, PATENT & TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 10968
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (if known, sec 37 C.F.R. 1.5) 09/857,144
INTERNATIONAL APPLICATION NO. PCT/FR99/01369	INTERNATIONAL FILING DATE June 9, 1999	PRIORITY DATE CLAIMED December 8, 1998
TITLE OF INVENTION A SAFETY ASSEMBLY FOR A PREFILLED SYRINGE FOR INJECTING LIQUID, IN PARTICULAR A MEDICINE		
APPLICANT FOR DO/EO/US Roger DENIS		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li><input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li><input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li><input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li><input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> has been transmitted by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li><input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li><input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li><input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> have been transmitted by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> </ol> <p><b>Items 11. to 16. below concern other document(s) or information included:</b></p> <ol style="list-style-type: none"> <li><input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input type="checkbox"/> A FIRST preliminary amendment.</li> <li><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> Entitlement to small entity status is hereby asserted.</li> <li><input type="checkbox"/> Other items or information:</li> </ol>		

U.S. APPLICATION NO. (if known, see 37  
C.F.R. 1.5) 09/857,144

INTERNATIONAL APPLICATION NO.  
PCT/FR99/01369

ATTORNEY'S DOCKET NUMBER  
109684

17. ☒ The following fees are submitted:

**Basic National fee (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO ....\$860.00

International preliminary examination fee paid to USPTO  
(37 CFR 1.482) .....\$690.00

No international preliminary examination fee paid to USPTO  
(37 CFR 1.482) but international search fee paid to USPTO  
(37 CFR 1.445(a)(2)) .....\$710.00

Neither international preliminary examination fee (37 CFR  
1.482) nor international search fee (37 CFR 1.445(a)(2))  
paid to USPTO .....\$1,000.00

International preliminary examination fee paid to USPTO  
(37 CFR 1.482) and all claims satisfied provisions of PCT  
Article 33(2)-(4) .....\$ 100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

CALCULATIONS

PTO USE ONLY

\$

Surcharge of \$130.00 for furnishing the oath or declaration later than  
☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR  
1.492(e)).

\$130.00

Claims	Number Filed	Number Extra	Rate
Total Claims	- 20 =		X \$ 18.00
Independent Claims	- 3 =		X \$ 80.00
Multiple dependent claim(s)(if applicable)			+ \$270.00

\$

\$

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$130.00

Reduction by 1/2 for filing by small entity, if applicable. -

\$

**SUBTOTAL =**

\$130.00

Processing fee of \$130.00 for furnishing the English translation later  
than ☐ 20 ☐ 30 month from the earliest claimed priority date (37 CFR  
1.492(f)). +

\$

**TOTAL NATIONAL FEE =**

\$130.00

08/08/2001 UEDUVIJE 00000039 09857144

01 FC:154

130.00 OP

Amount to be  
refunded

\$

Charged

\$

- a. ☒ Check No. 121549 in the amount of \$130.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

OLIFF & BERRIDGE, PLC  
P.O. Box 19928  
Alexandria, Virginia 22320

NAME: William P. Berridge  
REGISTRATION NUMBER: 30,024

NAME: Joel S. Armstrong  
REGISTRATION NUMBER: 36,430

Filed: August 3, 2001

(1390 Rev.10-00)